



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: **Jack Wasserman**

Group Art Unit: **3621**

Application No.: **10/678,871**

Examiner: **Not Yet Known**

Filed: **October 3, 2003**

For: **METHOD AND SYSTEM FOR OBTAINING AND FINANCING  
EXCLUSIVE REAL ESTATE LISTINGS**

Date: **June 4, 2004**

**CERTIFICATE OF MAILING**  
**BY "EXPRESS MAIL" (37 C.F.R. § 1.10)**

Commissioner for Patents  
PO Box 1450  
Alexandria, Virginia 22313-1450

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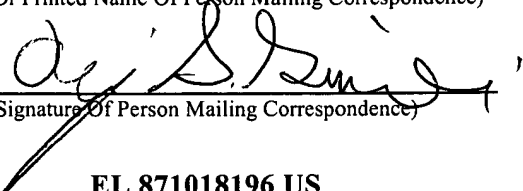
- Petition to Make Special Under 37 C.F.R. Sec. 1.102
- Statement Regarding Pre-examination Search
- Fee Transmittal (two copies)
- Preliminary Amendment, Including Marked-up Copy and Clean Copy of Substitute Specification
- Information Disclosure Statement, Including Form PTO/SB/08a and 08b
- Copies of Cited References
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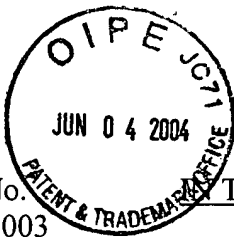
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*Qfw*

Docket No. 672988/0003  
IGD:ASG

Applicants: **Jack Wasserman**

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06/06/2004 SDIRETA1 00000066 194709 10678871

Date: **June 3, 2004**

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### **INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and pursuant to 37 C.F.R. §§ 1.97 and 1.98, the Examiner's attention is directed to the documents listed on the enclosed Information Disclosure Statement by Applicant (forms PTO/SB/08a and 08b).

Copies of the U.S. patents and applications have not been provided, in accordance with the Notice entitled "Information Disclosure Statements May Be Filed Without Copies of U.S. Patents and Published Applications in Patent Applications filed after June 30, 2003" set forth at 1276 O.G. 55.<sup>1</sup> The present application, it should be noted, was filed on October 3, 2003.

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<sup>1</sup> The relevant portion of that Notice reads:

#### Waiver

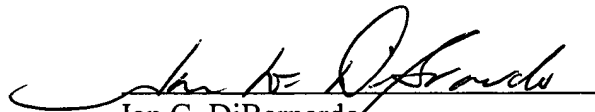
The Office hereby waives the requirement under 37 CFR 1.98 (a)(2)(i) for submitting a copy of each cited U.S. patent and each U.S. patent application publication for all U.S. national patent applications filed after June 30, 2003 and for all international applications that have entered the national stage under 35 USC 371 after June 30, 2003. See 37 CFR 1.491(b). For all patent applications filed on or before June 30, 2003, copies of cited U.S. patents and patent application publications are still required unless an eIDS is filed.

It is respectfully requested that the cited information be considered by the Examiner and that a copy of the enclosed Forms PTO/SB/08a and 08b be returned indicating that such information has been considered.

Applicant respectfully submits that no fee is due for this IDS under 37 C.F.R. §1.97(b)(3), as it is believed to be filed prior to mailing of a first Office Action.

Favorable consideration and prompt allowance of this application is respectfully requested. In the event that there are any questions, or should additional information be required, please contact applicant's attorney at the number listed below.

Respectfully submitted,



Ian G. DiBernardo  
Registration No. 40,991  
Attorney for Applicants  
Stroock & Stroock & Lavan LLP  
180 Maiden Lane  
New York, New York 10038  
(212) 806-5867

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Applicants are still required to submit copies of foreign patent documents and non-patent literature in accordance with 37 CFR 1.98(a)(2).



PTO/SB/08a (08-03)  
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Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet **1** of **2**

### Complete if Known

Application Number	<b>10/678,871</b>
Filing Date	<b>October 3, 2003</b>
First Named Inventor	<b>Jack Wasserman</b>
Art Unit	<b>3621</b>
Examiner Name	<b>Not Yet Known</b>
Attorney Docket Number	<b>672988/0003</b>

### U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		US- <b>6,615,187</b>	<b>09-02-2003</b>	<b>Ashenmil et al.</b>	
		US- <b>2002/0072930 A1</b>	<b>06-13-2002</b>	<b>Scites</b>	
		US- <b>2002/0077893 A1</b>	<b>06-20-2002</b>	<b>Wolf et al.</b>	
		US- <b>2003/0144943 A1</b>	<b>07-31-2003</b>	<b>Zivan</b>	
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### FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				

Examiner  
Signature

Date  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet

2

of

2

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Application Number	10/678,871
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First Named Inventor	Jack Wasserman
Art Unit	3621
Examiner Name	Not Yet Known
Attorney Docket Number	672988/0003

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		Bruss, Robert, "A Guide to Listings There Are Many Ways to Work with a Realtor," CHICAGO TRIBUNE, 12 November 1994, Tribune Media Services, Chicago, Ill.	
		225 Ill. Comp. Stat. 454/10-15 (c) - (d), December 31, 1999	
		N.Y. Real Prop. Law Sec. 442, April 25, 1941	
		Coldwell Banker Residential Real Estate Services, Inc. v. New Jersey Real Estate Comm'n, 242 N.J.Super. 354 (N.J.Super. Ct. App. Div. 1990), July 11, 1990	
		<a href="http://www.dallasnorthproperties.net/seller_guarantee.htm">www.dallasnorthproperties.net/seller_guarantee.htm</a>	
		<a href="http://www.hekselhomes.com">www.hekselhomes.com</a>	
		<a href="http://www.realestatelibrary.com/sellhome.html">www.realestatelibrary.com/sellhome.html</a> ; <a href="http://www.realestatelibrary.com/guarantees.html">www.realestatelibrary.com/guarantees.html</a>	
		<a href="http://www.atvantage.com/realestate/or_else.asp">www.atvantage.com/realestate/or_else.asp</a>	
		<a href="http://www.lendingtree.com">www.lendingtree.com</a> ; <a href="http://www.lendingtree.com/stm/offers/realty.asp?realtysource=RC01LT04&amp;partner=&amp;source=&amp;siteid=">http://www.lendingtree.com/stm/offers/realty.asp?realtysource=RC01LT04&amp;partner=&amp;source=&amp;siteid=</a>	
		Code of Ethics and Standards of Practice of the National Association of Realtors®, Article 12, Standard of Practice 12-3, January, 1995	

Examiner  
SignatureDate  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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